

Senniger, Powers, Leavitt & Roedel

Attorneys at Law

One Metropolitan Square, 16th Floor
Saint Louis, Missouri 63102

Telephone: 314-231-5400

Facsimile: 314-231-4342

www.senniger.com

Bradley S. Schammel

November 12, 2003

UTILITY PATENT APPLICATION TRANSMITTAL

(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: PHA 4163.5 (3455/1)

First Named Inventor: Michael S. South

Title: POLYCYCLIC ARYL AND HETEROARYL SUBSTITUTED BENZENES
USEFUL FOR SELECTIVE INHIBITION OF THE COAGULATION
CASCADE

Express Mail Label Number: EL 998648675 US

TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages ____]
4. ☐ Drawings [Total Sheets ____]
5. Oath or Declaration [Total Pages 4]
 - a. ☐ Newly executed (original or copy)
☐ New (unexecuted)
 - b. ☒ Copy from a prior application
(for continuation/divisional with
Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(s)
Signed statement attached
deleting inventor(s) named
in prior application.

6. ☒ Incorporation By Reference
(useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☒ Assignment Papers (copy from prior application)
11. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO/SB/O8A ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: _____

IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION

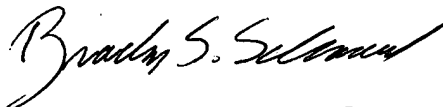
19. ☒ Continuation ☐ Divisional ☐ Continuation-in-Part of prior application No.: 09/804,959 filed November 13, 2001
- ☒ Complete Application based on provisional Application Nos. 60/252,159 filed November 20, 2000 and 60/188,943 filed March 13, 2000

Prior application information: Examiner: Shailendra Kumar
Group Art Unit: 1614

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321
Attention: Bradley S. Schammel

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Bradley S. Schammel".

Bradley S. Schammel, Reg. No. 54,667

BSS/vlm

FEE TRANSMITTAL

Application Number
Filing Date
Confirmation No.
Inventors Michael S. South and John J. Parlow
Group Art Unit
Examiner Name
Attorney Docket Number PHA 4163.5 (3455/1)

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.

☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.

☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. [X] BASIC FILING FEE Subtotal (1) \$ 770.00
(Type: Utility)
2. [X] EXTRA CLAIM FEES Subtotal (2) \$ 5,006.00
- Total Claims 141
Independent Claims 2
Multiple Dependent Claims 12
3. [] ADDITIONAL FEES Subtotal (3) \$ _____
- [] Surcharge - late filing fee or oath
[] Surcharge - late provisional filing fee or cover sheet
[] Extension for reply within _____ month
[] Notice of Appeal
[] Filing a Brief in Support of an appeal
[] Request for ex parte Reexamination
[] Petitions to the Commissioner
[] Submission of Information Disclosure Statement
[] Recording each patent assignment per property
[] Request for Continued Examination
[] Other:

TOTAL AMOUNT OF PAYMENT \$5,776.00

Bradley S. Schammel, Reg. No. 54,667 Date 11/12/03

BSS/vlm

Express Mail Label No. **EL 998648675 US**